

60 Rec'd PCT/PTO 2 1 AUG 2001

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE ATTORNEY DOCKET NO. (REV 5-93) PATENT AND TRADEMARK OFFICE 108172-00069 DATE: August 21, 2001 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLN. NO. CONCERNING A FILING UNDER 35 U.S.C. 371 (IF KNOWN, SEE 37 C.F.R. 1.5) 09/831,373 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE November 23, 1998 November 23, 1999 PCT/US99/26019 TITLE OF INVENTION: GENETIC MARKERS WHICH IDENTIFY INDIVIDUALS WHO IMPROVE THEIR DIABETES STATUS WITH APPLICANT(S) FOR DO/EO/US: Alan SHULDINER; Robert FERRELL; James M. HAGBERG; and Michael D. BROWN This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED) This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed [35 U.S.C. 371(c)(2)] is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] are transmitted herewith (required only if not transmitted by the International Bureau). a. 🔲 have been transmitted by the International Bureau. have not been made: however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. 10.

A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]. Items 11 - 16 below concern other document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. 🛛 An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3,28 and 3.31 is included. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. 324085 16.

Other items or information: Petition for Extension of Time for one month and Check No. 95/21/2001 PKNYPESH 00000025 09831373 114 60 00

U.S. APPI N. NO. (IF KNOWN SEE 37 C.F.R. 1.50) 09/831,373		INTERNATIONAL APPLICATION NO. PCT/US99/26019		ATTORNEY DOCKET NO. 108172-00069	
				DATE: August 21, 2001	
17. The following fees are submitted: Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]: Search Report has been prepared by the EPO or JPO\$860.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482)\$690.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO [37 C.F.R. 1.445(a)(2)]\$710.00 Neither international preliminary examination fee (37 C.F.R. 1.482) or international search fee [37 C.F.R. 1.445(a)(2)] paid to USPTO\$1,000.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00				CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 C.F.R. 1.492(e)].				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	- 20 =		X \$ 18.00	\$	
Independent Claims	- 3 =		X \$ 80.00	\$	
Multiple dependent claim(s) (if applicable) + \$270.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
Reduction by one-half for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 130.00	
Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)]. +				\$	
TOTAL NATIONAL FEE =				\$ 130.00	
Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 170.00	
08/24/2001 MKAYPABH 00000025 09831373				Amount to be refunded	\$
A duplicate copy of	unt of \$170.00 to c Deposit Account No this sheet is enclos is hereby authorize	o, 01-2300 in the amo sed.	ount of \$ to	Charged cover the above fee. may be required, or credit an	y overpayment to
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Arent Fox Kintner Plotkin & Kahn 1050 Connecticut Avenue, N.W., Suite 400 Washington, D.C. 20036-5339 Tel: (202) 857-6000 Fax: (202) 638-4810 Reg. No. 39,107					